

04-11-01

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04/10/01

1080 U.S. PTO  
04/10/01

Please type a plus sign [+] inside this box

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional application under 37 C.F.R.  
§ 1.53(b))

|                          |                     |
|--------------------------|---------------------|
| Attorney Docket No.      | IN-5474             |
| Application Number       |                     |
| Filing Date              | April 10, 2001      |
| First Named Inventor     | Michele L. STAUFFER |
| Examiner Name            |                     |
| Group/Art Unit           |                     |
| Express Mail Certificate | EK157042915US       |

UC971 U.S. PTO  
09/02/00

04/10/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents Box  
Patent Application, Washington, DC 202311. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)5. ☐ Microfiche Computer Program (Appendix)2. ☒ Specification [Total Pages  ]

6. Nucleotide and/or Amino Acid Sequence Submission

(preferred arrangement set forth below)

(if applicable, all necessary)

- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

a. ☐ Computer Readable Copyc. ☐ Paper Copy (identical to computer copy)☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

3. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets  ]7. ☐ Assignment Papers (cover sheet & document(s))4. ☐ Oath or Declaration [Total Sheets  ]8. ☐ 37 CFR§3.73(b) Statement (when there is an assignee) ☐ Power Of Attorneya. ☐ Newly executed (original or copy)9. ☐ English Translation Document (if applicable)b. ☐ Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 1 16 completed)10. ☐ Information Disclosure Statement (IDS)/ PTO-1449 ☐ Copies of IDS Citationsi. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
See 37 CFR §§1.63 (d)(2) and 1.33(b).11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically Itemized)13. ☐ Statements (PTO/SB/09-12)  
☐ Statement filed in prior application  
Status still proper and desired14. ☐ Certified Copy of Priority Document(s) if  
foreign priority is claimed)15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendments;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 \_\_\_\_\_ filed on \_\_\_\_\_  
Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Name and Address BASF Corporation, 26701 Telegraph Road, Southfield, Michigan 48034-2442

## 18. SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT

Firm or Individual name

Anna M Budde

Date: Tuesday, April 10, 2001

Anna M. BUDDE, 35,085  
Customer No. 26922

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997

|                          |                     |
|--------------------------|---------------------|
| Application Number       | Herewith            |
| Filing Date              | April 10, 2001      |
| First Named Inventor     | Michele L. STAUFFER |
| Examiner Name            |                     |
| Group/Art Unit           |                     |
| Attorney Docket No.      | IN-5474             |
| Express Mail Certificate | EK157042915US       |

TOTAL AMOUNT OF PAYMENT \$1,050.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §  
Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

|              |     |                        |          |
|--------------|-----|------------------------|----------|
| 101          | 710 | Utility filing fee     | \$710.00 |
| 106          | 330 | Design filing fee      |          |
| 107          | 540 | Plant filing fee       |          |
| 108          | 790 | Reissue filing fee     |          |
| 114          | 150 | Provisional filing fee |          |
| SUBTOTAL (2) |     |                        | \$710.00 |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 30 -20** =         | 10           | 18             | \$180.00 |
| Independent Claims |              |                |          |
| 05 - 3** =         | 02           | 80             | \$160.00 |
| Multiple Dependent |              |                |          |

\*\*Or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) |   |
|----------------|-----------------|---|
| 103            | 218             | Claims in excess of 20                                    |
| 102            | 80              | Independent claims in excess of 3                         |
| 104            | 270             | Multiple dependent claims, if not paid                    |
| 109            | 82              | **Reissue independent claims over original patent         |
| 110            | 22              | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$340.00

## 18. SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT:

Firm or Individual name BASF Corporation  
26701 Telegraph Road  
Southfield, Michigan 48034-2442  
Telephone No. (248) 948-2021  
Fax No. (248) 948-2093

Signature:

*Anna M. Budde*

Date:

Anna M. Budde, 35,085  
Tuesday, April 10, 2001  
Customer No. 26922

## FEE CALCULATION

### 3. ADDITION FEES

| Large Fee Code                   | Entity Fee (\$) | Fee Description   | Fee Paid |
|----------------------------------|-----------------|---|----------|
| 105                              | 130             | Surcharge -late filing fee or oath  |          |
| 127                              | 50              | provisional ailing or cover sheet   |          |
| 139                              | 130             | Non-English specification   |          |
| 147                              | 2,520           | For filing request for examination  |          |
| 112                              | 920*            | Requesting publication of SIR prior to Examiner action                    |          |
| 113                              | 1,840           | Requesting publication of SIR after Examiner action                       |          |
| 115                              | 110             | Extension for reply within first month                                    |          |
| 116                              | 400             | Extension for reply within second month                                   |          |
| 117                              | 890             | Extension for reply within third month                                    |          |
| 118                              | 1,510           | Extension for reply within fourth month                                   |          |
| 128                              | 2,060           | Extension for reply within fifth month                                    |          |
| 119                              | 310             | Notice of Appeal  |          |
| 120                              | 310             | Filing a brief in support of an appeal                                    |          |
| 121                              | 270             | Request for oral hearing  |          |
| 138                              | 1,510           | Petition to institute a public use proceeding                             |          |
| 140                              | 110             | Petition to revive-unavoidable  |          |
| 141                              | 1,320           | Petition to revive-unintentional  |          |
| 142                              | 1,320           | Utility issue fee (or reissue)  |          |
| 143                              | 450             | Design issue fee  |          |
| 144                              | 670             | Plant issue fee   |          |
| 122                              | 130             | Petition to the Commissioner  |          |
| 123                              | 50              | Petition related to provisional application                               |          |
| 126                              | 240             | Submission of information Disclosure Statement                            |          |
| 581                              | 40              | Recording each patent Assignment per property (time number of properties) |          |
| 146                              | 790             | Filing a submission after final rejection                                 |          |
| 149                              | 790             | For each additional invention to be examined (37 CFR 1.129(b))            |          |
| Other                            | fee             | (specify) _____   |          |
| Reduced By Basic Fee Paid Filing |                 |   |          |

SUBTOTAL (3) (\$)

PATENT

(Practitioner's Docket No. IN-5474 )

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Michele L. STAUFFER et al.

US Serial No. Herewith

Filed: April 10, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COATING COMPOSITION HAVING LOW VOLATILE ORGANIC CONTENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EK157042915US** Date of Deposit **April 10, 2001**

I hereby certify that the following attached paper or fee

☒ Application  
Specification on  pages; claims on  pages;  
the abstract on  page(s); drawings on  page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MARJORIE ELLIS

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.